



REGULATORY IMPACT ASSESSMENT

SOME LESSONS FROM COUNTRY EXPERIENCE

Céline Kauffmann
Deputy Head of the OECD Regulatory Policy Division

Symposium on Regulatory Impact Assessment
European Court of Auditors, Luxembourg
24 November 2017



« RIA is not an end in itself »

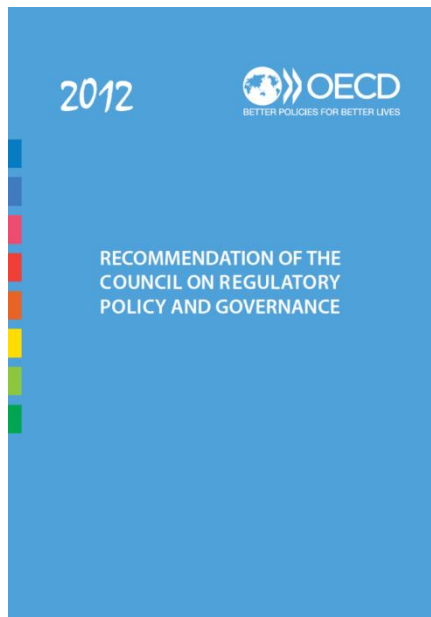
What is ‘good’ law/regulation?

- A sound rationale and clear objectives
- Targets the main source of a problem
- Proportionate to scale/risk
- Avoids undue prescription
- “Coherent” with other regulations
- Understandable and consistent
- Can be effectively administered and enforced
- (and remains ‘fit for purpose’)



2012 Recommendation on Regulatory Policy and Governance

“Integrate RIA into the early stages of the policy process for the formulation of new regulatory proposals. Clearly identify policy goals, and evaluate if regulation is necessary and how it can be most effective and efficient in achieving those goals. Consider means other than regulation and identify the tradeoffs of the different approaches analysed to identify the best approach.”



- Proportional to the significance of the regulation
- Quantitative whenever possible
- Take into account economic, social and environmental impacts
- Identify who is likely to benefit and who is likely to bear costs




RIA, a tool and a process in support of decision making



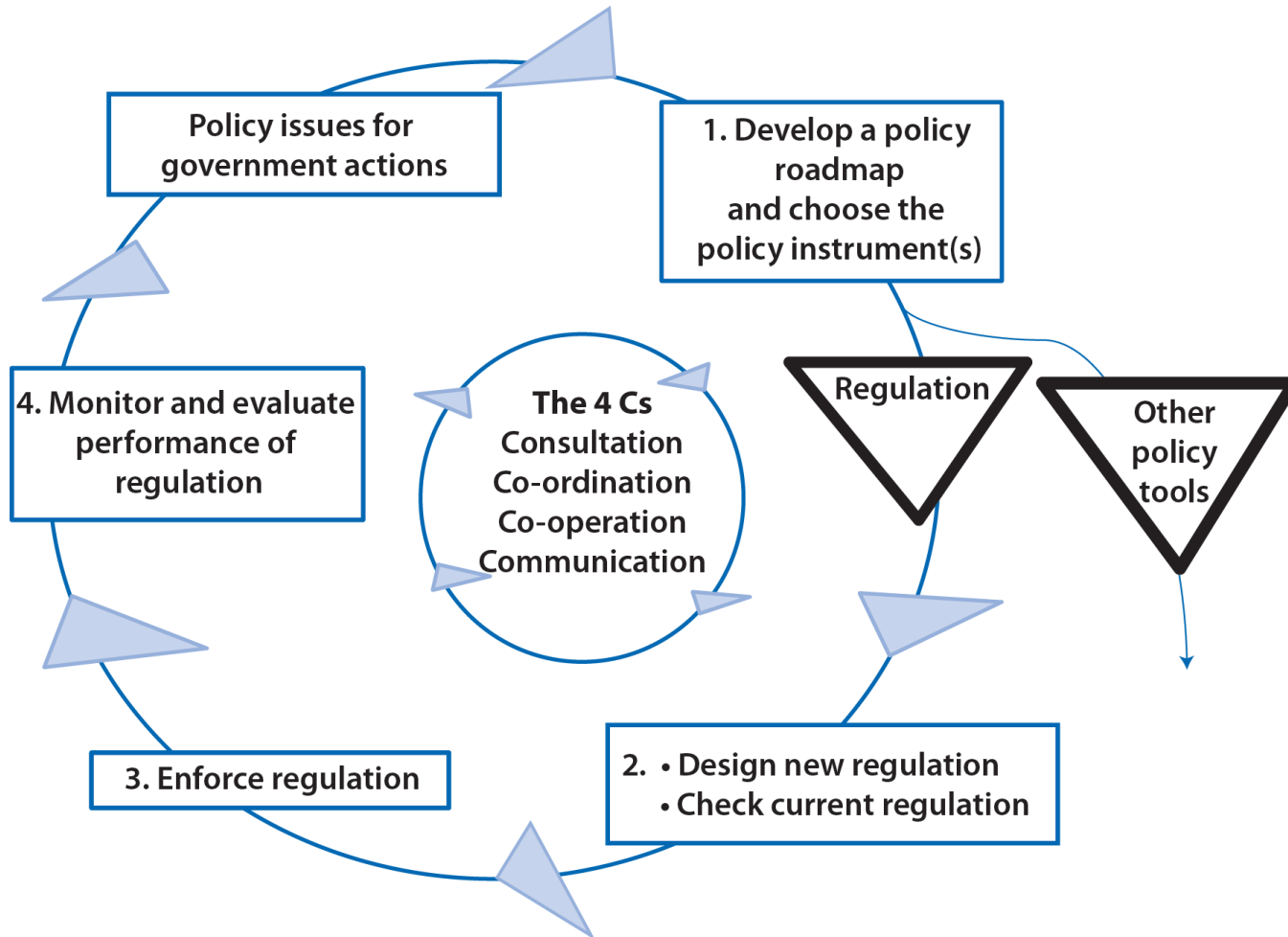


Key RIA Steps

- 
1. Problem definition
 2. Analysis of status quo
 3. Identification of need for regulation
 4. Analysis of alternative policy options
 5. Collection of information
 6. Assessment of alternative options
 7. Identification of preferred policy option
 8. Provisions for monitoring and evaluation



RIA must be seen as a key element of a broader “policy cycle”



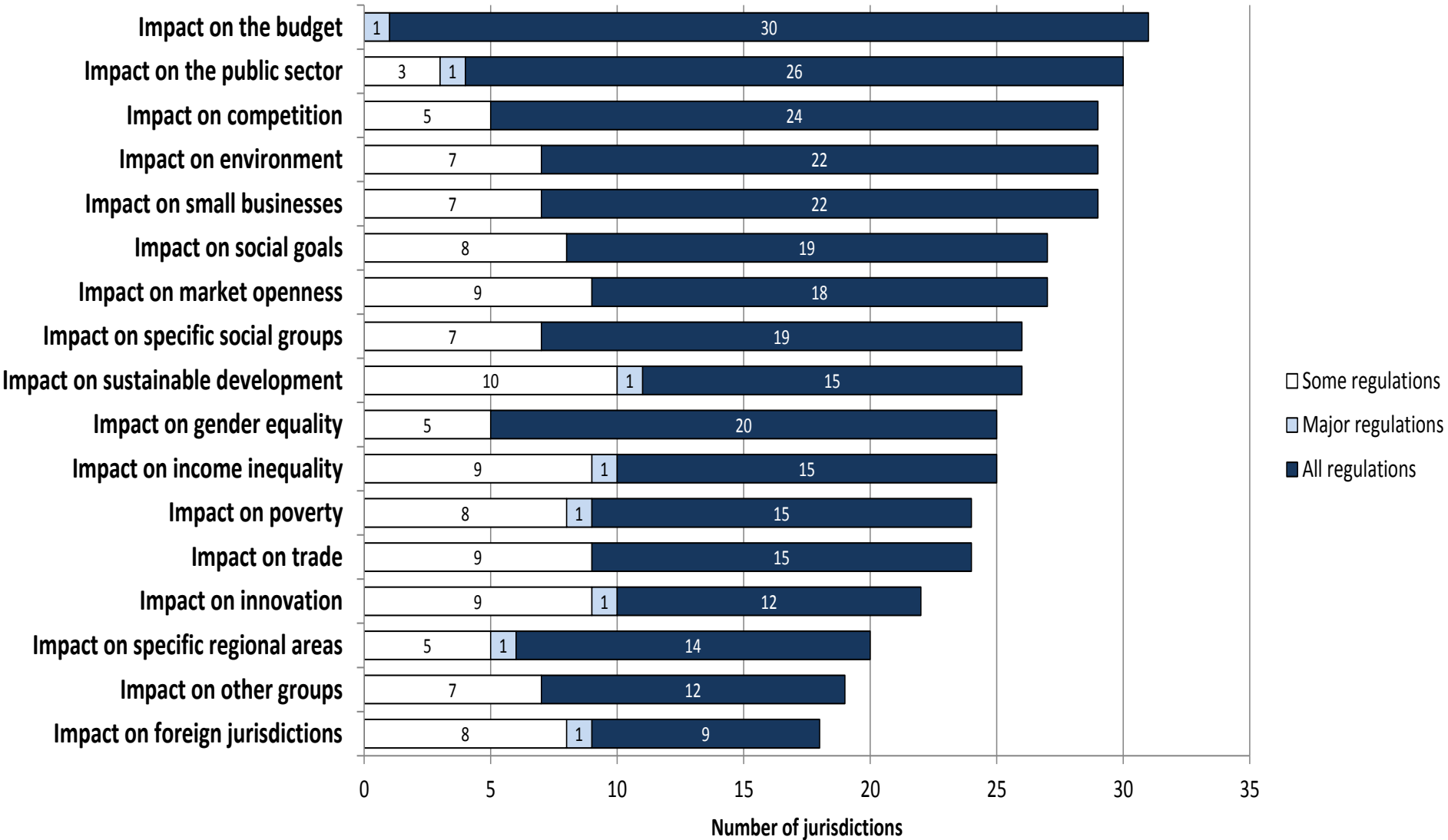


Governance is essential

- Successful RIA critically depends on the level of commitment expressed by political leaders...
- ... coupled with adequate incentives for public officials. How do you sale RIA to the administration?
- The timing of impact assessments is important.
- Oversight / quality control play a key role in the effective realisation of the policy cycle.
- The role of stakeholders is essential.

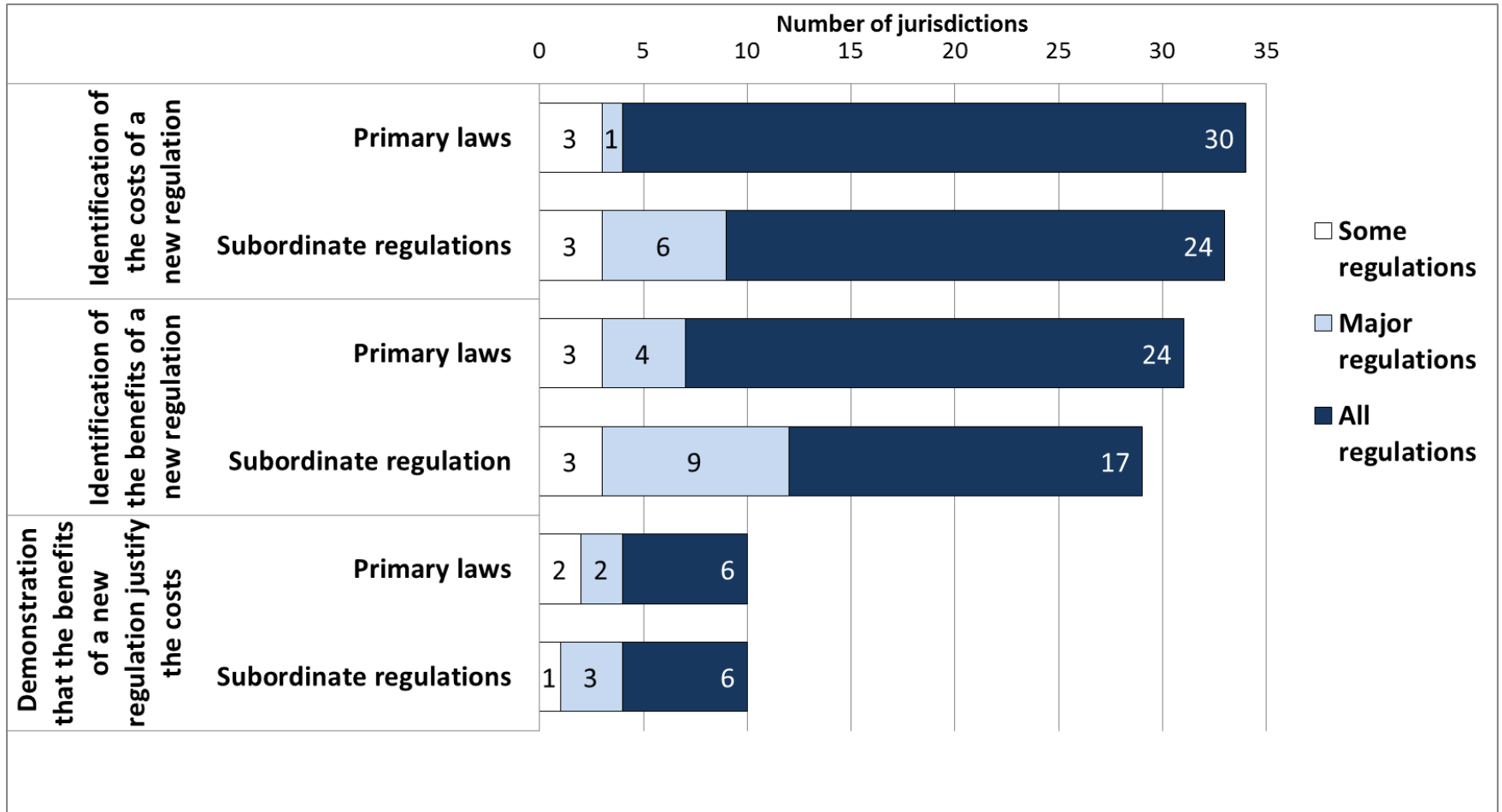


A wide range of impacts are assessed





Need to systematise the identification of net benefits



Source: 2015 Regulatory Policy Outlook (forthcoming).



Thank you.

 @OECDgov

<http://www.oecd.org/gov/>